



~~DFW/18~~

Applicant: Engler, et al.  
Serial No.: 10/806,477  
Filed March 23, 2004  
For: RECEPTOR-MEDIATED UPTAKE OF PEPTIDES THAT BIND THE  
HUMAN TRANSFERRIN RECEPTOR

THIS IS NOT A NEW PATENT APPLICATION

LETTER SUBMITTING DOCUMENTS FOR COMPLETION OF AN APPLICATION  
PURSUANT TO 37 C.F.R. 1.53 (d)

Honorable Commissioner of Patents      October 4, 2004  
and Trademarks  
Washington, D.C. 20231

Sir:

The application papers for the above-identified application were originally filed on March 32, 2004 and assigned Serial No. 10/806,477.

Under the provisions of 37 CFR 1.41(c) and 1.53(d), attached hereto are the following documents:

Signed Declaration and Power of Attorney  
The Notification to File Missing Parts  
A check as indicated below.

Applicant hereby claims status as a small entity under 37 CFR 1.27 (Non-profit Organization)

The Government Filing Surcharge in accordance with 37 CFR 1.16(e) is calculated as \$65.00.

The application as filed contains the following claims:

7	total claims.	Fee:	\$395.00
There are no excess independent claims			
	Total	fees:	<b>\$395.00</b>

Applicants request a 2 month extension of time from August 15, 2004 to October 15, 2004. The fee therefore being \$215.00

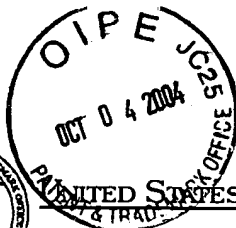
Please continue to address all mail to:  
Glenna Hendricks  
P.O. Box 2509  
Fairfax, VA 22031

Attached is a check for the amount of \$675.00. If further fees are payable, the commissioner is authorized to debit **Deposit Account 08-1652** in the amount required to fully pay all fees.

Respectfully Submitted;

Gene By Croche

Glenna Hendricks, Reg. No. 32535



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/806,477	03/23/2004	Jeffrey A. Engler	

Glenna Hendricks, Esq.  
P. O. Box 2509  
Fairfax, VA 22031-2509

CONFIRMATION NO. 1697

## FORMALITIES LETTER



\*OC000000012945396\*

Date Mailed: 06/15/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

10/05/2004 JBALINAN 00000055 10806477

01 FC:2001 395.00 0P

02 FC:2051 65.00 0P

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**

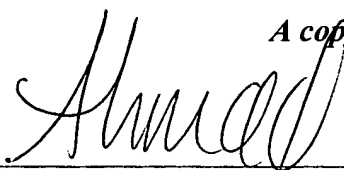
Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

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